	NT ALLEGATIONS ONE-THREE

5.1	The evidence has identified consistent evidence between and in the following areas.
	 was teaching a Year class on which has been a off site and returned to the school around Upon returning to the school some of the students went with to their classroom to collect some belongings and this included provided the students a short time to collect their belongings and
	did so in the timeframe and left the room and was still doing this when the time concluded; and bag and apply some pressure to this and motion out of the room.
5.2	The evidence has identified conflicting evidence in the following areas:
	 the nature of the grabbing of the bag. has stated that this was a violent grab by has stated that on a scale of 1-5 it was a 4 level of force and has stated using this same scale it was a 1 and soft and took the steps with whether did pull across the room;
	 thought this was being done in good spirit and was interpreting it this way;
	the investigation has also identified a conversation had shortly after the incident with another staff member in which stated, said asked them to get out and said, "Make me." told me that as a joke, grabbed by the bag (I assume of which was on back) and started pulling fell over and then kept dragging out of the classroom"; and
	 does not recall this aspect of the conversation and denying made a mistake.
5.3	If the evidence obtained is considered in relation to the allegations it is assessed that the above actions of breached of the Enterprise Agreement as they/
5.4	This assessment is made as did through interactions in the allegation not act professionally in grabbing packpack and undertaking a physical motion to make leave the room in required timeframe.

5.5	In terms of the allegation that	did pull		-
	the evidence between	is conflicting		It is assessed
	that if this evidence alone was relied o			
	the incident occurred in the manner accounts.	stated by these	e witnesses given	the conflicting
	accounts.			
5.6	It is however assessed that the evidence	e of	whilst not direct evi	dence contains
0.0		The state of the s	ncident where di	
	and had recognised this was not a		The state of the s	
		The state of the s	relied on and whils	
	The state of the s	as a witness it is	s evidence of an ac	dmission by the
	respondent shortly after the incident.			
5.7	It is accordingly assessed that	did drag	in the room wh	
	want to be dragged and to do so col			
	possible to assess the distance by we evidence.	/nicn M	as dragged given	the conflicting
	evidence.			
5.8	It is further assessed that the allegations	s are sustained a	and are a breach of	
			re the relevant obl	
	current	The tenant to be seen to be seen to		CONTRACTOR CONTRACTOR NOT CONTRACTOR IN
5.9	of the states			
	<u></u>			

- 5.10 For this section to be breached it needs to be determined whether the behaviour of a worker may cause psychological harm to a student. The term psychological is not defined and accordingly a definition of an impact on mental health is considered to be appropriate.
- 5.11 It is assessed that the conduct of physically dragging a student, in the circumstances identified had the potential to cause physiological harm and on balance this section is breached.
- 5.12 It is assessed that in terms of reportable conduct the appropriate reportable conduct category is, ill-treatment of a child (including emotional abuse, hostile use of force/physical contact, neglect and restrictive intervention).
- 5.13 The Reportable Conduct Practice Guide No 2 Identifying Reportable Conduct states:

Ill-treatment includes those circumstances where an employee treats a child in an unreasonable and seriously inappropriate, inhumane or cruel manner. This behaviour may be intentional or unintentional. The focus is on the alleged conduct rather than the actual effect of the conduct on a child.

Further emotional abuse may occur when treatment towards a child is unreasonable and seriously inappropriate, inhumane or cruel. This includes a verbal assault.

Hostile use of force/physical contact occurs when a person applies unreasonable and seriously inappropriate, inhumane or cruel force/physical contact against a child or causes a child to fear the imminent use of such force/physical contact. Decision makers may also consider whether the alleged conduct is an assault.

matter to ACT Police should think this appropriate. The respondent's adversal was not sure if wanted to as was concerned about welfare. 5.16 Given the nature of the incident and the advice of father it was determined should not refer the matter to ACT Police and let the family make this decision. The refer this decision were: • the conflicting evidence of how far was pulled across the room; and	5.14		ssessed that the actions of are unreasonable and seriously inappropriate nvolved a degree of physical force.
should not refer the matter to ACT Police and let the family make this decision. The r for this decision were: the conflicting evidence of how far was pulled across the room; and the conflicting evidence of to and about whether	5.15	matte	r to ACT Police should think this appropriate. The respondent's advised
the conflicting evidence oftoandabout whether	5.16	shoul	d not refer the matter to ACT Police and let the family make this decision. The reasons
			the conflicting evidence of to and about whether this

5.17 It was also assessed that the most appropriate offence would appear to be, on the facts a common assault pursuant to section 26 of the *Crimes Act 1900* (ACT). This section states:

A person who assaults another person is guilty of an offence punishable, on conviction, by imprisonment for 2 years.

5.18 The Crimes Act does not define the term assault. The NSW Judicial Commission has stated at https://www.judcom.nsw.gov.au/publications/benchbks/criminal/assault.html:

An assault is any act — and not a mere omission to act — by which a person intentionally — or recklessly — causes another to apprehend immediate and unlawful violence: R v Burstow; R v Ireland [1998] 1 AC 147. Thus it is the fear which is the gist of assault.

5.19 The Reportable Conduct Fact Sheet states:

Offences against the person, including physical assault, relates to an offence against any of the provisions of the Crimes Act 1900 listed in the Act. Offences against the person are reportable conduct if they are committed against, with or in the presence of a child. Assault may include hitting, kicking or punching of a child.

A serious threat or fear of physical harm may also constitute an offence. Words or gestures can lead the child to apprehend the imminent application of physical force, regardless of whether the person actually intends to apply any force. Again, it does not matter whether the conduct is alleged to have occurred in the professional or personal capacity as long as the person was an employee at the time the alleged conduct was said to have occurred.

For an assault to occur, it is not necessary that the person act with hostility or that the child sustain an injury. However, the presence or absence of any hostility or injury may be

significant when deciding whether the physical force used, or the apprehension created, constituted an assault. A range of variables should also be taken into account, having regard for the circumstances of each case. These variables may include matters such as the age, maturity, health or characteristic13 of the child involved.

5.20	In this case it was assessed that whilst it is possible the allegations could constitute criminal assault this was prima facie not certain, given the inconsistent evidence and it was most appropriate to let the decide whether this should occur.	
6	FINDING – ALLEGATION ONE	
6.1	There is sufficient evidence to sustain the allegations in relation to a breach of the Enterprise Agreement and	
6.2	It is sustained that the conduct is reportable conduct.	
7	CONCLUSION	
7.1		

was cooperative in the investigation.

7.2

Name	Position	Involvement in Incident	Evidence Provided	Attachment
	Teacher	Respondent	Written response; recorded interview.	6 & 7
	Student	Student involved in incident	Recorded statement Notes	2&3
	Student	Student involved in incident	Recorded statement	4
	Student	Witness	Written Statement	5

Report Signatures / Authorities

Prepared by: (Investigator/Service Provider)

Signature:	
Name:	
Position:	
Date:	

Supported by: (HR as appropriate)

Signature:	
Name:	
Position:	
Date:	T.I.

Approved by: (Agency Decision Maker)

Signature:	
Name:	
Position:	
Date:	

8 ATTACHMENTS

1.	Notification of Allegation dated
2.	Transcript of Record of Interview with
3.	Notes made by
4.	Transcript of Record of Interview with
5.	Email of
6.	Written Response of
7.	Transcript of Record of Interview with dated

Delivered by email	
Dear	
RE: NOTIFICATION OF A FINAL FINDING	
breaches by yourself of the (Enterprise Agreement)	has identified possible
These allegations were outlined in an allegation letter dated an alleged incident at	which related to
On, you were provided with a Preliminary Finding you provided a written response in which you stated:	. On

I have considered this response and determined that the Final Findings of this investigation are that the allegation is sustained and that your actions for the allegations sustained did

breach you:	of the Enterprise Agreement in that through your actions
incident as well as the other wit	sed on evidence obtained from the student involved in the mess, your conversation with a fellow staff member shortly onse and the evidence obtained in your interview.
	Allegation is reportable conduct and this outcome will be an. The ACT Teachers Quality Institute will also be advised of allegation.
The seriousness of this inci	dent
	ramount for and a primary and key duty of a teacher. ropriate physical interactions with students, even in difficult sthe utilisation of appropriate strategies to manage difficult
Disciplinary Measures	
including your resp	has carefully considered all evidence presented by conses to the allegations.
I advise that you are now issue advised that:	d a <u>first and final</u> warning in relation to this breach. You are
 manage adverse behavi you are required to engage 	Policies and comply at all times; ow you interact with students when they are in your care and iours; age with , where you are engaged , or the remainder of the year and
 you are required to atter 	to king appropriate behaviour management strategies; and and/participate in a professional development activity relating ent with students within the next 6 months.

this matter and requirement to engage with you when you return to duties at the School.
Confidentiality and Counselling
I again remind you that your confidentiality obligations in relation to this matter continue. You must also refrain from victimising or taking any detrimental action towards others who have participated in this matter. Assurances of confidentiality have also been sought from all persons involved in the investigation process.
If you have any questions or concerns or seek additional clarification, please contact myself or .
If you would like to access confidential professional counselling offered through , please do not hesitate to contact them on
I advise that per your request I am available to meet you to discuss this process. Alternatively I am available to discuss this by a pre -arranged telephone conversation or receive any comments you have in writing. Please make this arrangement with who is
contactable at .
Yours sincerely

Freedom of Information Schedule

TQI -	– TEACHERS REGISTRATION S70

Scope: The records from within the time period of 17 February 2019 until 29 November 2021 specifically:

- 1. The document or documents which detail the reasons why any registered teacher has been issued with a formal warning, had conditions placed on their registration or been de-registered as a result of their conduct.
- 2. Any information disclosed under s.70A (1) (a) and (b) of the TQI Act to the Institute, whether or not the teacher's registration was suspended, cancelled or had conditions placed upon it.
- 3. Any information disclosed to the institute under s.70B of the TQI Act.

DATE OF REQUEST: 29 NOVEMBER 2021 - DECISION DUE 15 MARCH 2022

Record Number	Description	Record Date	Decision (Full release, Partial release, Non-release)	Reason for Partial release or Non-release
	2019 – Case 20 – Refer to 2020 Case 2 and 2021 Case 1			
20.1	Section 70B Notification Form		Partial release	Schedule 2.2(a)(ii)
20.2	Section 70C Request for further information		Partial release	Schedule 2.2(a)(ii)

Section 70B Notification Form



Office Use Only TQI Reference:

Under section 70B of the *ACT Teacher Quality Institute Act 2010,* it is the employer's obligation to notify TQI of a notification event.

Email TQINotification@act.gov.au

Details of Teacher					
Nan	Name: Employment Status:				
Date	Date of Notification Event: TQI Number:				
Sec	tor:	School name:			
Cai	use for reporting a notification event und	er section 70B			
	the employer of an approved teacher tells the tea investigation;	acher that the employer has decided to begin a formal			
	the employer takes disciplinary action against the employment;	e teacher under the terms of the teacher's			
	Tick if the following information is available:				
	 At time of notification the teacher's employment has been terminated Yes ☐ No ☐ 				
	 At time of notification a formal investigation has been completed or full admission by the teacher has occurred and the teacher has select 				
	the employer removes, cancels or ends the access of the teacher to casual employment;				
an approved teacher, who is the subject of a formal investigation or preliminary factual inquiry by the employer, resigns.					
Details of notifier for the reported matter:					
Nan	ne:				
Tele	ephone: E	mail			

Section 70C Request for further information





Under section 70C of the *ACT Teacher Quality Institute Act 2010*, an employer must respond to a request for further information in relation to a notification event within a stated timeframe.

Email TQINotifications@act.gov.au

Dept. No. 1900 - Children D.				
Details of Teacher				
Name:	TQI Number:			
Date of Notification Event:	Employment Status:			
Sector:	School name:			
Information to be sent to TQI by clo	se of business			
Cause for reporting a notification event u	nder section 70B			
the employer of an approved teacher tells the investigation;	teacher that the employer has decided to begin a formal			
Information requested under s70C of the	TQI Act:			
During the formal investigation as notified above the teacher will be: □ employed in the same role as when the allegation was received □ employed in a different role, contact with students □ employed in a different role, no contact with students □ suspended / stood down on pay □ suspended / stood down without pay Provide the following:				
□ a description of the notification event □ details about the planned, on-going or completed investigation of the notification event □ any other information related to the notification event				
Details of notifier for the reported matter	:			
Name:				
Telephone: Email:				
Details of TQI officer managing case:				
Name: Cherie Kelly				
Telephone: 62077643	Email: cherie.kelly@act.gov.au			

Records are not released in accordance with Section 17 of the *Freedom of Information Act 2016*, Schedule 2, 2.2(a)(iii)

Records are not released in accordance with Section 17 of the *Freedom of Information Act 2016*, Schedule 2, 2.2(a)(iii)

Freedom of Information Schedule

TQI -	– TEACHERS REGISTRATION S70

Scope: The records from within the time period of 17 February 2019 until 29 November 2021 specifically:

- 1. The document or documents which detail the reasons why any registered teacher has been issued with a formal warning, had conditions placed on their registration or been de-registered as a result of their conduct.
- 2. Any information disclosed under s.70A (1) (a) and (b) of the TQI Act to the Institute, whether or not the teacher's registration was suspended, cancelled or had conditions placed upon it.
- 3. Any information disclosed to the institute under s.70B of the TQI Act.

DATE OF REQUEST: 29 NOVEMBER 2021 - - DECISION DUE 15 MARCH 2022

Record Number	Description	Record Date	Decision (Full release, Partial release, Non-release)	Reason for Partial release or Non-release
	2019 – Case 23			
23.1	Section 70B Notification Form		Partial release	Schedule 2.2(a)(ii)
23.2	Section 70C Request for further information		Partial release	Schedule 2.2(a)(ii)

Section 70B Notification Form



Office Use Only
TQI Reference:

Under section 70B of the ACT Teacher Quality Institute Act 2010, it is the employer's obligation to notify TQI of a notification event.

Email TQINotification@act.gov.au

Details of Teacher					
Name:	ame: Employment Status:				
Date of Notification Event:	TQI Number:				
Sector:	School name:				
Cause for reporting a notification event u	nder section 70B				
the employer of an approved teacher tells the investigation;	teacher that the employer has decided to begin a formal				
the employer takes disciplinary action against employment;	the teacher under the terms of the teacher's				
Tick if the following information is available:					
 At time of notification the teacher's em 	ployment has been terminated Yes \square No \square				
	 At time of notification a formal investigation has been completed or full admission by the teacher has occurred and the teacher has select 				
the employer removes, cancels or ends the ac	the employer removes, cancels or ends the access of the teacher to casual employment;				
an approved teacher, who is the subject of a formal investigation or preliminary factual inquiry by the employer, resigns.					
Details of notifier for the reported matter:					
Name:					
Telephone:	Email				

Section 70C Request for further information



Under section 70C of the *ACT Teacher Quality Institute Act 2010*, an employer must respond to a request for further information in relation to a notification event within a stated timeframe.

Email TQINotifications@act.gov.au

Details of Teacher				
Name:	TQI Number:			
Date of Notification Event: Employment Status:				
Sector:	School name:			
Information to be sent to TQI by clos	se of business			
Cause for reporting a notification event u	nder section 70B			
the employer of an approved teacher tells the investigation;	teacher that the employer has decided to begin a formal			
Information requested under s70C of the	ΓQI Act:			
During the formal investigation as notified above the teacher will be: □ employed in the same role as when the allegation was received □ employed in a different role, contact with students □ employed in a different role, no contact with students □ suspended / stood down on pay □ suspended / stood down without pay Provide the following: □ a description of the notification event □ details about the planned, on-going or completed investigation of the notification event				
☑ any other information related to the notification event				
Details of notifier for the reported matter:				
Name:				
Telephone: Email:				
Details of TQI officer managing case:				
Name:Cherie Kely				
Telephone:62077643 Email:cherie.kely@act.gov.au				

Section 70C Request for further information



Description of Notification Event

A number of allegations have been made by	students that		has engaged in
in appropriate and overly personal or intimate conduct towards them. $ \\$	them when	had physical	contact with
Following preliminary interviews with the students the following		ave been mad	de:

- Placing hand on their hand to use a computer mouse in class
- Leaning in very close to students when assisting on the computer
- Placing hand on a face and making a complimentary remark about their skin
- Placing hand on a shoulder and then stroking down towards shoulder
- Standing very close to students when assisting in the where teaches
- Reaching over a student to assist them with in the where teaches

From these initial interviews it was considered that the allegations need a formal investigation.

Details about the planned, on-going or completed investigation of the notification event

The School has engaged to undertake the investigation. The School will also notify the ACT Ombudsman as it is considered to be a reportable allegation.

The investigation plan will be drawn up and then the investigation commenced immediately following this.

Any other information related to the notification event

This current notification follows a previous s70B notification about similar allegations sent to TQI on and then discussed with Anne Ellis on . The investigation for this previous event is also being conducted by and is nearing completion.

Freedom of Information Schedule

TQI -	- TEACHERS REGISTRATION S70

Scope: The records from within the time period of 17 February 2019 until 29 November 2021 specifically:

- 1. The document or documents which detail the reasons why any registered teacher has been issued with a formal warning, had conditions placed on their registration or been de-registered as a result of their conduct.
- 2. Any information disclosed under s.70A (1) (a) and (b) of the TQI Act to the Institute, whether or not the teacher's registration was suspended, cancelled or had conditions placed upon it.
- 3. Any information disclosed to the institute under s.70B of the TQI Act.

DATE OF REQUEST: 29 NOVEMBER 2021 - DECISION DUE 15 MARCH 2022

Record Number	Description	Record Date	Decision (Full release, Partial release, Non-release)	Reason for Partial release or Non-release
	2019 – Case 24			
24.1	Section 70B Notification Form		Partial release	Schedule 2.2(a)(ii)
24.2	Section 70C Request for further information		Partial release	Schedule 2.2(a)(ii)
24.3	Investigation Report – Workplace Misconduct Investigation		Partial release	Schedule 2.2(a)(ii), Confidential Information and Management Function

Section 70B Notification Form



Office Use Only
TQI Reference:

Under section 70B of the ACT Teacher Quality Institute Act 2010, it is the employer's obligation to notify TQI of a notification event.

Email TQINotification@act.gov.au

De	tails of Teacher				
Na	me:	Employment Status:			
Date of Notification Event:					
Sec	ctor:	School name:			
Ca	use for reporting a notificati	on event under section 70B			
	the employer of an approved tead investigation;	cher tells the teacher that the employer has decided to begin a formal			
	the employer takes disciplinary ac employment;	ction against the teacher under the terms of the teacher's			
	Tick if the following information is	available:			
	 At time of notification the 	teacher's employment has been terminated Yes 🗌 No 🖂			
		rmal investigation has been completed or full admission by the			
	the employer removes, cancels or	r ends the access of the teacher to casual employment;			
	an approved teacher, who is the semployer, resigns.	subject of a formal investigation or preliminary factual inquiry by the			
Det	ails of notifier for the report	ed matter:			
Nan	ne:				
Tele	phone:	Email:			

The employer must notify TQI within 5 working days of a notification event. The employer commits an offence (with a maximum penalty of 50 points) if they fail to notify a 'notification event' within the 5 working days timeframe.

Section 70C

Request for further information



Office Use Only
TQI Reference:

Under section 70C of the ACT Teacher Quality Institute Act 2010, an employer must respond to a request for further information in relation to a notification event within a stated timeframe.

Email TQINotifications@act.gov.au

Details of Teacher					
Name:	TQI Number:				
Date of Notification Event:	Employment Status:				
Section	Sahaal mamaa				
Sector:	School name:				
Information to be sent to TQI by clos	e of business				
Cause for reporting a notification event un	der section 70B				
the employer of an approved teacher tells the tinvestigation;	eacher that the employer has decided to begin a formal				
Information requested under s70C of the T	'QI Act:				
During the formal investigation as notified above the teacher will be: ⊠ employed in the same role as when the allegation was received □ employed in a different role, contact with students □ employed in a different role, no contact with students □ suspended / stood down on pay □ suspended / stood down without pay					
Provide the following:					
 □ a description of the notification event □ details about the planned, on-going or completed investigation of the notification event □ any other information related to the notification event 					
Details of notifier for the reported matter:					
Name:					
Telephone:	Email:				
Details of TQI officer managing case:	THE RESIDENCE IN THE PARTY OF T				
Name: Cherie Kelly					
Telephone:62077643	Email: Cherie kelly@act gov au				

Section 70C – Request for further information

1.	A description of th	e Notification ever	nt		
	On the afternoon of	of	the		had a student
	doing a test in the	corridor outside	classroom.	heard	student voices in the
	corridor and when	went out sav	v that stude	ent speaking to th	e student doing the test
	aske	d them to stop and			started walking away.
	The second secon	t responded as requ		•	•
	The Year s	tudent kept walking	g away.	repeated t	he instruction and was
	ignored by the	student. The		to a locker nearby	
	followed Near	the locker it is asse		Committee of the Commit	grabbed/pushed
		take the book/boo	5	, ki	
	The student then sl	houted at	"You can	't touch me."	
	The student then w	alked off and	returi	ned to class.	
	The student's parer	nt was contacted b	y	because	the student was in an
	agitated state when				
	The parent then ca		Discussions we	ere held between	the parent.
					ing the process being
	undertaken.				
2.	Details of planned	on-going/complet	ed investigatio	n	
	The Deputy sought		_		ch was provided on
	The school determi	ned then that it wo	ould be best to I	nave an external i	nvestigator -
- 3		contacted late or			
ı		terviews with the s	tudent on		after which the
- 5	investigator will ad				urter miner the
	The Principal notifie	ed the TQI as requir	red on	morning after	unsuccessfully trying to
	3.7	afternoon.			amended and the fining to
	Once the investigat	or completes w	ork, the results	of the investigation	on and any subsequent
	action/follow up wi				
	A. A. C. C. C. (1997), C.			,	
3.	Other information				
	None at this stage.				
	Principal				
- 4					

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INVESTIGATION REPORT

CONFIDENTIAL - WORKPLACE MISCONDUCT INVESTIGATION

Subject/Respondent	t
Client	
Investig	jator:
Date:	

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1.	EXECUTIVE SUMMARY
1.1.	On , an alleged incident occurred between a Year Student and a at .
1.2.	It was alleged that engaged in inappropriate physical contact with when removing something from possession. This is in breach of the Code of Conduct.
1.3.	An investigation was conducted during which it was identified there were no other direct witnesses to the incident.
1.4.	and have different recollections of the incident and a finding has been determined that the allegation is not sustained due to insufficient evidence.
1.5.	It has also been assessed the allegation is not reportable conduct.
2.	BACKGROUND
2.1.	On was engaged by to investigate an alleged incident between a Year Student, and a
2.2.	The allegation related to an interaction between and on School premises; specifically, in the hallway outside a classroom in the
2.3.	The alleged incident occurred at approximately on . No direct witnesses to the incident the subject of the allegations were identified. The only accounts provided to the investigation were those of and .
2.4.	

2.5.	made the allegation against	just after the incident was				
	alleged to have occurred. Written accounts w	vere obtained from and from				
	on this same day. These accounts were obtained by					
	Additionally, accounts were also obtained from two other witnesses,					
	neither witness having actually witnessed the	alleged incident itself.				

3. METHEDOLOGY

3.1.	On .	prepared	and sub	bmitted	an In\	estigation/	Pla	n to
3.2.	On conducted an interview presence and with the	ew with .	the second second second	ttended terview	was	conducted		and the
3.3.	On prepared and signed by . In addition to this letter also provid response to the allega	setting out the	. Th	Depu Depu ars of the opportu	er was ity Pri e alleg nity to	submit a	nst wri	itten
3.4.	allegations.		provi	ided a \	writter	response	to:	the
3.5.	On the allegations at request of	was present w			C	erview in re of the s conducte		
	request or							

Witness Name	Position	Relevant to incident	Evidence provided	
	Year Student	Victim	Version of Events dated	
			Transcript of Interview conducted on	
	Year Student	Involved in the lead up to the incident Did not witness the incident	Version of Events dated	
	Teacher	Teaching in the area where the incident occurred Did not directly see the incident.	Email stating what recalled occurring dated	

4. ALLEGATION

It is alleged that in Period were involved in an interact	and the second s	and	on Year	, you Student,	
It is alleged that:					
your physical interaction with was not appropriate and acceptable for the duty being performed and was not reasonable for the purpose of the management of and was not necessary for physical safety or well being; and					
• you did not in this interact	ion treat	with respect.			
The particulars of the allega	ation are:				
a) You were teaching a Yea	ar	class;			