| 5.14.1 | 4.1 It is assessed that has breached section by using a connotation in a photograph with a group of students, at a schoparents are in attendance. It is further assessed that as the photograph is evidence of unacceptable damage to the esteem specifically with regard to Child Protection.            | pool event, in which<br>Stograph was uploa<br>Inplaint was mad <u>e</u> a  | students and<br>ded to a public |
|--------|---|--|---------------------------------|
| 5.15   | 5   |  | 3                               |
| 5.15.1 | 5.1 It is assessed that connotation in a photograph with a group of students, at a schoparents are in attendance. It is further assessed that as the photograph is evidence of unacceptable damage to the esteem specifically with regard to Child Protection.  | ool event, in which<br>stograph was uploa<br>nplaint was mad <u>e</u> a  | students and<br>ded to a public |
|        | With regard to  | Guideline  | sfor                            |
|        | Professional Conduct in the Protection of Children and Young P  | eople (the Guidelin  | es). The                        |
|        | following conclusions are made:   |  |                                 |
| 5.16   | 6   |  |                                 |
| 5.16.1 | 6.1 It is assessed that has not breached  | as no eviden   | ce in the                       |
|        | investigation was present demonstrating students were harme   | The second secon |                                 |
| 5.17   | 7   |  |                                 |
| E 17 1 | 7.1 It is assessed that has breached section  | bu unin a  |                                 |
| 5.17.1 | 7.1 It is assessed that has breached section has sexual connotations in a photograph with a group of student students and parents are in attendance. Specifically, that the acgesture in the photograph demonstrates that the teacher failed professional relationship as a teacher with students in care | nts, at a school eve<br>et of participating in<br>d in obligation t  |                                 |
| 5.18   | 8   |  |                                 |

| 5.18.1 | It is assessed that has not breached present in the investigation that the process of taking the photograph was spur of the moment rather than planned. Further, no evidence was present to suggest that continuing interactions involving the gesture or other activities with sexual connotations occurred on the night. |  |
|--------|--|--|
| 5.19   |  |  |
| 5.19.1 | It is assessed that has breached by using a gesture that has sexual connotations in a photograph with a group of students.   |  |
| 6.     | FINDING – ALLEGATION ONE   |  |
| 6.1    | The photograph clearly shows making a gesture in which   |  |
|        |  |  |
| 6.2    | It is assessed that a teacher of experience should have been more attuned to the possibility that using such a gesture in the context in which it was used could have resulted in breaching her professional obligations.  |  |
| 6.3    | Given it has been assessed that should have been more aware of the implications there is sufficient evidence of weight to substantiate that the conduct occurred with intent, an understanding of the meaning of the gesture or adequate knowledge of the implications of the conduct.                                     |  |
| 6.4    | The finding of Allegation 1, based on the available evidence, is <u>sustained</u> .  |  |
| 7.     | SUMMARY OF EVIDENCE – ALLEGATION 2 & 3   |  |
|        |  |  |
|        |  |  |
|        |  |  |
|        |  |  |
|        |  |  |
|        |  |  |
|        |  |  |
|        |  |  |
|        |  |  |

investigation to indicate that

which was to be conducted on

investigation process.

| 8.  | ANALYSIS – ALLEGATION  | 2                                     |                        |   |
|-----|--|---------------------------------------|------------------------|---|
|     |  |                                       |                        |   |
| 8.1 | written respon   | se and the respor                     | nses provided in       | interview were largely  |
| 3.2 | The evidence obtained subsequently sent, by evidence interview.  | written re                            | esponse is indisp      | had possession putable. Further, the fact is not the account provided the provided |
| 8.3 | The evidence of that it had been done so levidence.  |                                       | request<br>of own free | response be provided<br>will, is supported by   |
| 8.4 | The evidence of written responsively written with the written responsively written responsive |                                       | oy<br>ertion that v    | ession of, and subsequent<br>evidence. Further,<br>was acting in support of   |
| 8.5 | No evidence was present to<br>to the content of<br>respondents in. Evidence<br>continued corresponding v<br>matters. It is acknowledge   | written res<br>was present in<br>vith | the investigation      | ow Cause process that they  |
| 8.6 | Evidence was present in the personal matter to deal with the with regard to the Enterprise of the Ente | th on                                 |                        |   |
| 8.7 |  |                                       |                        |   |
| 8.8 | It is assessed that  | has not brea                          | ched                   | as no evidence was pres   |

engaged in a process to either prepare for the interview,

or in an effort to deliberately interfere with the

| 8.9  |  |
|------|--|
| 8.10 | It is assessed that has breached as there is sufficient evidence to demonstrate that failed to adhere to the written direction contained in the Show Cause notice issued to in Evidence of and that found being the subject of a Show Cause process distressing. It is assessed as plausible that this knowledge contributed to decision to send the correspondence to another person. However, it is assessed as reasonable that should have understood the direction in the Show Cause notice issued to in included sharing response with another person. Further, while it is acknowledged that on dealing with a difficult personal matter and that was unable to access the email as driving, it is assessed as reasonable that could have advised to email |
|      | directly and provided with email address.  |
| 8.11 |  |
| 8.12 | It is assessed that has breached as no evidence was present in the investigation, (and did not attest) that any attempt was made by to notify that was in possession of, or subsequently forwarded written response. This failure to notify, while not a deliberate attempt to interfere with the investigation process. On balance it is assessed as causing an unacceptable risk of reputational damage to as it could be interpreted, by virtue of their actions, that staff members subject to investigations do not hold the investigations with the level of seriousness with which they should be afforded.   |
| 9.   | FINDINGS - ALLEGATION 2 & 3  |
|      |  |
| 9.1  | Email discovery and the evidence of and clearly demonstrates that both had possession of and subsequently sent written response to a person not involved in the investigation.   |
| 9.2  | It is assessed as reasonable that should have been able to interpret the direction contained in the Show Cause notice issued to in correctly, especially given evidence that had verbally informed that was unable to read the response. Given this, it is assessed as reasonable that could have both notified of the correspondence between and in which provided written response, and given email address so direct correspondence could occur and ensure further distance between and .   |
| 9.3  | The finding of Allegation 2, based on the available evidence, is <u>sustained</u> .  |
| 9.4  | The finding of Allegation 3, based on the available evidence, is <u>not sustained</u> .  |

#### 10. CONCLUSION

10.5 It is recommended that

| 10.1 | is an experienced <u>teacher</u> , having taught at for                         | It is assessed as  |
|------|---|--|
|      | reasonable that a teacher of should have a better under                         | erstanding of the  |
|      | implications of actions at any school function, including those outside of scho | ool hours.   |
| 10.2 | Evidence present in this investigation has indicated that the issue of crossing | g of professional  |
|      | boundaries by staff be reviewed at .  |  |
| 40.0 |   | of a contract the second of th |
| 10.3 | It is recommended that disallow the consumption of alcohol by staff at so       | chool sanctioned   |
|      | events in which students are present.   |  |
| 10.4 | It is recommended that review the processes they use for recruit                | ing staff into the   |
|      | program.  |  |
|      |   |  |

review processes for, and teacher understanding of, the

| KEY PARTIES |           |                            |                              |
|-------------|-----------|----------------------------|------------------------------|
| Name        | Position  | Involvement in<br>Incident | Evidence Provided            |
|             |           | Respondent                 | Written response & Interview |
|             | Assistant | Witness                    | Written account              |

responsibility for leading and mentoring staff, especially younger or inexperienced staff.

#### 11. ANNEXURES

| 1.          | Email form  |  |
|-------------|---|--|
| 2, 3<br>& 4 | Photographs depicting a group of students with staff members, ), engaged in different poses                         |  |
| 5.          | Show Cause notice   |  |
| 6.          | written response to the Show Cause notice   |  |
| 7.          | written information   |  |
| 8.          | written account   |  |
| 9.          | interview transcript  |  |
| 10.         | Email demonstrating prima facie breach of a lawful direction for confidentiality regarding the investigation        |  |
| 11.         | answers to a set of questions regarding the circumstances in which had obtained ) response to the show cause notice |  |
| 12.         | Show Cause notice issued to   |  |
| 13.         | Letter of suspension issued to  |  |
| 14.         | File notes of meeting in which show cause and suspension letter were issues to                                      |  |
| 15.         | Written response on behalf of to the show cause notice dated  |  |
| 16.         | Email from to identifying a typographical error contained in the show cause   |  |
| 17.         | List of questions sent by for to answer   |  |
| 18.         | A file note of conversation between and   |  |
| 19.         | written confirmation that the responses provided  |  |
| 20.         | interview transcript  |  |
| 21.         | witness statement   |  |

#### Report Signatures / Authorities

Date:

| Prepared by: (Investigator/Service Provider) |                      |  |
|--|----------------------|--|
| Signature:                                   |                      |  |
| Name:  |                      |  |
| Position:                                    |                      |  |
| Date:  |                      |  |
| Supported by:                                |                      |  |
| Signature:                                   |                      |  |
| Name:  |                      |  |
| Position:                                    |                      |  |
| Date:  |                      |  |
| Approved by: (Ag                             | ency Decision Maker) |  |
| Signature:                                   |                      |  |
| Name:  |                      |  |
| Position:                                    |                      |  |

| RE: N  | OTIFICATION OF A FINAL FINDING  |   |
|--------|---|---|
|        |   | has identified possible                             |
| Agree  | nes by yourself of the ment   | Enterprise  |
|        | and/or  |   |
|        |   |   |
|        |   |   |
| These  | processes were communicated to you by letter on   | and on  |
| outcor |   | hich informed you of the<br>ne Enterprise Agreement |
| Allega | ation One - Sustained   |   |
| •      | The photograph clearly shows you making a gesture in  | which   |
|        |   |   |
| •      | It was assessed that a teacher of your experience shoul attuned to the possibility that using such a gesture in the used could have resulted in you breaching your profess  | e context in which it was                           |
| •      | Given the assessment that you should have been more of your conduct there is sufficient evidence of weight to conduct occurred with intent, an understanding of the madequate knowledge of the implications of the conduct. | substantiate that the                               |

### Allegation Two - Sustained

| Email discovery and other evidence gathered in the investigation clearly demonstrates that you both had possession of and subsequently sent written response to a person not involved in the investigation.   |   |
|---|---|
| It is assessed as reasonable that you should have been able to interpret the direction contained in the Show Cause notice issued to you in correctly, especially given your evidence that you had verbally informed that you were unable to read the response. Given this, it is assessed as reasonable that you could have both notified of the correspondence between yourself and in which provided written response, and given email address so direct correspondence could occur and ensure further distance from you. | ) |
| Allegation Three – Unsustained  |   |
| No evidence was present in the investigation that you engaged in correspondence with relating to the content of written response or the Show Cause process you were both respondents in. Accordingly, it is assessed that you were not trying to interfere with the investigation process.  |   |
| In the Preliminary Finding letter of you were invited to submit a response to the findings by You were advised that if no response to this letter is received by that date the matter will be closed and you will be informed of the outcome. We note that a response was not received for consideration.   |   |
| Disciplinary Measures   |   |
| I have carefully considered all evidence presented by to the allegations. I now issue you a <u>warning</u> in relation to these breaches.   |   |
| Please be reminded that further failure to adhere to policies and exercise professional judgement may result in further disciplinary action.  |   |
| I am directing you to:  |   |
| <ul> <li>review the to ensure you understand your child protection obligations<br/>and report in writing to your Principal that you have done by no later than Week<br/>of</li> </ul>   |   |
| <ul> <li>ensure at all times your relationship with students is that of a teacher only in<br/>accordance with Policies and Procedures, and</li> </ul>   |   |
| <ul> <li>if you find yourself in a situation with any student in which you are at risk of<br/>breaching your child protection obligations you are to immediately to declare the<br/>situation and seek support from your Principal or their delegate.</li> </ul>  |   |
| The seriousness of this incident  |   |
|   |   |

times are mindful of appropriate professional boundaries when interacting with young people. It is incumbent on me to stress the seriousness of instances in which teachers cross their professional boundaries with students. Such instances can be considered to be sexual misconduct and accordingly have the potential to cause significant harm to students. Sexual misconduct in any form by teachers is an incredibly serious matter and is a breach of the position of trust and respect teachers hold with students, families and within the community.

#### **Confidentiality and Counselling**

I again remind you that your confidentiality obligations in relation to this matter continue. You must also refrain from victimising or taking any detrimental action towards others who have participated in this matter. Assurances of confidentiality have also been sought from all persons involved in the investigation process.

If you have any questions or concerns or seek additional clarification, please contact me.

| •               | onfidential professional counselling offered through hesitate to contact them on |
|-----------------|--|
| Yours sincerely |  |
|                 |  |
|                 |  |

## Regulatory Assessment Report



Notification under s.67 and s70B of the ACT Teacher Quality Institute Act 2010

#### Employers reporting obligation under s.67:

- the teacher has become mentally or physically incapacitated and the incapacity prevents the person from performing an inherent requirement of their job as a teacher;
- the teacher is given a negative notice under the Working with Vulnerable People (Background Checking) Act 2011 (WwVP), section 40; the teacher's registration under the WwVP Act has lapsed; or is made subject to a condition; or is suspended or cancelled; or is surrendered.

#### Employers reporting obligation under s.70B:

The employer must notify TQI within 5 working days of a notification event.

| Details of Teacher   |   |  |
|--|---|--|
| Name:  | TQI Number:   |  |
| Date of notification:  | <b>WwVP expiry date:</b> Click here to enter a date.  |  |
| Sector:  | School name recorded on TQI portal:   |  |
| Reported under s70C  | Reported under S67  |  |
| Administration   |   |  |
| ☐ CRM record reflects POI and change made to recor   | ds disposal 75 years, detals added to Regulatory Matrix   |  |
| s70C request sent to employer  | Date of request:  |  |
| Background   |   |  |
| On , while attending the Year photographs were taken of with a group of students. In these photographs appeared to: - Be holding a glass of wine, despite a direction to remained seated while consuming alcohol, given by Making a gesture in which  It is widely accepted that this gesture indicates  It is noted that a number of other people in the photograph are making the same gesture.  |   |  |
| Evidence Provided: See case files  |   |  |
| Initial Assessment   |   |  |
| ☑ Notified teacher to request meeting  | Date of meeting:  |  |
| ☐ Meeting notes confirmed with teacher as accurate re  | ecord   |  |
| □ Assessment of teacher response and final decision  | determined and documented   |  |
| Notice in writing to teacher regarding determination if an action is taken.  This is written with statements of reason and advice regarding teacher's right of appeal to ACAT in 28 days.  Note: A decision NOT to suspend or cancel is NOT appelable – ie no decision under s.65  Note: Suspensions must have a specific end date-they can be extended but not over a renewal period of 12 months.  |   |  |
| Final Determination  |   |  |
| Factors influencing final determination:   |   |  |
| hours. It was also assessed that a reasonable personable sexual connotations associated with the gesture Mitigating factors:  is an experienced tear remains in ongoing employment at the school, with investigation has indicated that the issue of crossinations in the school investigation investiga | any school function, including those outside of school on would find it implausible that did not know the made in the photos.  The cher, having taught at for a written warning as a result of this investigation. The fing of professional boundaries by staff be reviewed at its allow the consumption of alcohol by staff at school to was also recommended that |  |

## Regulatory Assessment Report Notification under s.67 and s70B of the ACT Teacher Quality Institute Act 2010



| younger or inexperienced<br>that all Delegate actions h<br>boundaries, and that syst<br>phone for use after hours   | nad been complet<br>ems had been str | ted, had | ,<br>engaged ir<br>, such as th |            |          | 100 miles | confirmed<br>professional<br>'work' mobile        |
|---|--------------------------------------|----------|---------------------------------|------------|----------|-----------|---|
| ☑ No action taken □   | Formal Warning                       | □ Cond   | tion                            | ☐ Su       | spension | n         | ☐ Cancellation                                    |
| If decision to take no action was a letter of final determination sent:   |                                      |          |                                 |            | □ No     |           |   |
| <ul> <li>□ Advised employers of d</li> <li>□ Notified other jurisdiction</li> <li>☑ Updated regulatory Mati</li> <li>□ Case identified for indep</li> </ul> | ns in writing of deter<br>rix        | •        | uspended o                      | r cancelle | ed)      |           |   |
| ☐ Review Suspension<br>Date reviewed: Click or<br>tap to enter a date.  | Determination Da                     | ate:     |                                 |            |          |           | ☐ Case Closed Date: Click or tap to enter a date. |
| Name Delegate Finalise  | ed                                   |          | Coralie McA                     | Nister     |          | Date      |   |

| From: |               |
|-------|---------------|
| To:   |               |
|       | In Confidence |
| Date: |               |
|       |               |

Dear

Thank you for meeting with me today

I appreciated meeting in person to discuss the matters that occurred last year while in employment at reviewed all the relevant information, including the information we discussed in relation to a) actions the Delegate asked you to undertake b) Professional Boundaries professional learning undertaken, and c) strengthened systems (i.e. work mobile phone).

From the information provided at our meeting, I am satisfied that appropriate profession practice and supervision is occurring in relation to your role at the school and that it sounds like you are making an important contribution.

I consider therefore that no further action in relation to professional registration, as it relates to the information provided under s70B, is required and I wish to advise you that I will not be taking any further action. I would encourage you to reflect upon the professional learning you spoke of through the TQI teacher portal, as 'teacher identified' professional learning.

Thank you for making the time to discuss these matters in person. Do not hesitate to contact Ms Claudia Hale (Senior Director Professional Standards, Professional Learning and Regulation) on 62058207 if you have any questions regarding this correspondence, or any matters relating to my contact with you.

Regards

Coralie

Coralie McAlister | Chief Executive Officer

Phone: +61 2 6207 1918 | Email: tqi@act.gov.au

ACT Teacher Quality Institute | ACT Government

PO Box 263 Jamison Centre ACT 2614 | www.tqi.act.edu.au

## Section 70B **Notification Form**





Under section 70B of the ACT Teacher Quality Institute Act 2010, it is the employer's obligation to notify TQI of a notification event.

Email TQINotifications@act.gov.au

| Details of Teacher                           |  |                    |  |  |  |  |
|--|--|--------------------|--|--|--|--|
| Nan  | ne:  | Employment Status: |  |  |  |  |
| Date of Notification Event: TQI Number:      |  |                    |  |  |  |  |
| Sec  | tor:   | School name:       |  |  |  |  |
| Cai  | use for reporting a notification event u   | nder section 70B   |  |  |  |  |
|  | the employer of an approved teacher tells the teacher that the employer has decided to begin a formal investigation;   |                    |  |  |  |  |
|  | the employer takes disciplinary action against the teacher under the terms of the teacher's employment;  |                    |  |  |  |  |
|  | Tick if the following information is available:  |                    |  |  |  |  |
|  | <ul> <li>At time of notification the teacher's employment has been terminated Yes ☐ No ☐</li> </ul>  |                    |  |  |  |  |
|  | <ul> <li>At time of notification a formal investigation has been completed or full admission by the<br/>teacher has occurred and the teacher has select</li> </ul> |                    |  |  |  |  |
|  | the employer removes, cancels or ends the access of the teacher to casual employment;  |                    |  |  |  |  |
|  | an approved teacher, who is the subject of a formal investigation or preliminary factual inquiry by the employer, resigns.   |                    |  |  |  |  |
| Details of notifier for the reported matter: |  |                    |  |  |  |  |
| Nan  | ne:  |                    |  |  |  |  |
| Tele   | Telephone: Email:  |                    |  |  |  |  |

# Section 70C Request for further information



Under section 70C of the *ACT Teacher Quality Institute Act 2010,* an employer must respond to a request for further information in relation to a notification event within a stated timeframe.

Email TQINotifications@act.gov.au

| Details of Teacher   |                    |  |  |  |
|--|--------------------|--|--|--|
| Name:  | TQI Number:        |  |  |  |
| Date of Notification Event:  | Employment Status: |  |  |  |
| Sector:  | School name:       |  |  |  |
| Information to be sent to TQI by close of business   |                    |  |  |  |
| Cause for reporting a notification event u   | nder section 70B   |  |  |  |
| the employer of an approved teacher tells the teacher that the employer has decided to begin a formal investigation; |                    |  |  |  |
| Information requested under s70C of the  | TQI Act:           |  |  |  |
| Provide the following:   |                    |  |  |  |
| ☑ a description of the notification event  |                    |  |  |  |
| ☑ investigation report   |                    |  |  |  |
| ☑ any other information related to the notification event  |                    |  |  |  |
| Details of notifier for the reported matter:   |                    |  |  |  |
| Name:  |                    |  |  |  |
| Telephone:   | Email:             |  |  |  |
| Details of TQI officer managing case:  |                    |  |  |  |
| Name: Claudia Hale   |                    |  |  |  |
| Telephone:62058207 Email:Claudia.Hale@act.gov.au   |                    |  |  |  |

## Regulatory Assessment Report



Notification under s.67 and s70B of the ACT Teacher Quality Institute Act 2010

#### Employers reporting obligation under s.67:

- the teacher has become mentally or physically incapacitated and the incapacity prevents the person from performing an inherent requirement of their job as a teacher;
- the teacher is given a negative notice under the Working with Vulnerable People (Background Checking) Act 2011 (WwVP), section 40; the teacher's registration under the WwVP Act has lapsed; or is made subject to a condition; or is
- suspended or cancelled; or is surrendered.

#### Employers reporting obligation under s.70B:

The employer must notify TQI within 5 working days of a notification event.

| Details of Teacher  |   |  |  |
|---|---|--|--|
| Name:   | TQI Number:   |  |  |
| Date of notification:   | WwVP expiry date:   |  |  |
| Sector:   | School name recorded on TQI portal:   |  |  |
| Reported under s70B ⊠   | Reported under S67  |  |  |
| Administration  |   |  |  |
| ☑ CRM record reflects POI and change made to recor  | rds disposal 75 years, detals added to Regulatory Matrix                    |  |  |
|   | Date of request:  |  |  |
| Background  |   |  |  |
| Click or tap here to enter text.  |   |  |  |
| Evidence Provided:  |   |  |  |
| Initial Assessment  |   |  |  |
| ☐ Notified teacher to request meeting   | Date of meeting: Click or tap to enter a date.                              |  |  |
| ☐ Meeting notes confirmed with teacher as accurate record   |   |  |  |
| ☐ Assessment of teacher response and final decision   | determined and documented   |  |  |
| Notice in writing to teacher regarding determination if an action is. This is written with statements of reason and advice regarding to Note: A decision NOT to suspend or cancel is NOT appelable. Note: Suspensions must have a specific end date-they can be | eacher's right of appeal to ACAT in 28 days.<br>— ie no decision under s.65 |  |  |
| Final Determination   |   |  |  |
| Factors influencing final determination:  |   |  |  |
| 2nd notification – CEO spoke to re professional   | boundaries  |  |  |
| □ No action taken □ Formal Warning □ Co   | ndition 🗆 Suspension 🗆 Cancellation   |  |  |
| If decision to take no action was a letter of final determina   | ation sent:   |  |  |
| <ul> <li>□ Advised employers of determination</li> <li>□ Notified other jurisdictions in writing of determination</li> <li>□ Updated regulatory Matrix</li> <li>□ Case identified for independent assessment</li> </ul>   | (if suspended or cancelled)   |  |  |
| ☐ Review Suspension Determination Date:  Date reviewed: Click or tap to enter a date.   | ☐ Case Closed  Date: Click or tap to enter a date.                          |  |  |
| Name Delegate Finalised   | Corale McAlster Date: Click or tap to enter a date.                         |  |  |

Records are not released in accordance with Section 17 of the Freedom of Information Act 2016, Schedule 2.2(a)(iii) Records are not released in accordance with Section 17 of the Freedom of Information Act 2016, Schedule 2.2(a)(iii)

#### Freedom of Information Schedule

#### - TEACHERS REGISTRATION S70

Scope: The records from within the time period of 17 February 2019 until 29 November 2021 specifically:

- 1. The document or documents which detail the reasons why any registered teacher has been issued with a formal warning, had conditions placed on their registration or been de-registered as a result of their conduct.
- 2. Any information disclosed under s. 70A (1) (a) and (b) of the TQI Act to the Institute, whether or not the teacher's registration was suspended, cancelled or had conditions placed upon it.
- 3. Any information disclosed to the institute under s. 70B of the TQI Act.

#### DATE OF REQUEST: 29 NOVEMBER 2021 - FILE2021/5895

| Record<br>Number | Description  | Record Date | Decision<br>(Full release,<br>Partial release,<br>Non-release) | Reason for Partial release or<br>Non-release |
|------------------|--|-------------|--|--|
|                  | 2021 – Case 22   |             |  |  |
| 22.1             | Performance Improvement Plan   |             | Non-release  | Schedule 2.2(a)(ii)                          |
| 22.2             | Letter – Notice of intention to impose a condition on teacher registration             |             | Partial release  | Schedule 2.2(a)(ii)                          |
| 22.3             | Email – FW: Probation meeting and Performance<br>Improvement Plan process              |             | Partial release  | Schedule 2.2(a)(ii)                          |
| 22.4             | Letter – Notice to attend compulsory meeting to discuss highlighted performance issues |             | Partial release  | Schedule 2.2(a)(ii)                          |

| 22.5  | Letter of Outcomes  | Partial release | Schedule 2.2(a)(ii) and<br>Outside of scope                                    |
|-------|---|-----------------|--|
| 22.6  | Meeting Notes - Performance Improvement Plan meeting to monitor performance | Non-release     | Schedule 2.2(a)(ii) and<br>Confidential Information and<br>Management Function |
| 22.7  | Email – FW:   | Non-release     | Schedule 2.2(a)(ii) and<br>Confidential Information and<br>Management Function |
| 22.8  | Email – Record of conversation  | Non-release     | Schedule 2.2(a)(ii) and<br>Confidential Information and<br>Management Function |
| 22.9  | Letter – Employment   | Partial release | Schedule 2.2(a)(ii) and<br>Confidential Information and<br>Management Function |
| 22.10 | Email – Without Prejudice   | Non-release     | Schedule 2.2(a)(ii)  |
| 22.11 | Email — Returning to work   | Non-release     | Schedule 2.2(a)(ii)  |
| 22.12 | Section 70B Notification Form   | Partial release | Schedule 2.2(a)(ii)  |
| 22.13 | Section 70C Request for further information                                 | Partial release | Schedule 2.2(a)(ii)  |
| 22.14 | Letter – Employment   | Partial release | Schedule 2.2(a)(ii) and<br>Outside of scope                                    |
| 22.15 | Email – Concerns about letter   | Non-release     | Schedule 2.2(a)(ii)  |
| 22.16 | Letter – Notice of condition on teacher registration                        | Partial release | Schedule 2.2(a)(ii)  |
| 22.17 | Letter – Registration card – condition on teacher registration              | Partial release | Schedule 2.2(a)(ii)  |

This record is not released in accordance with Section 17 of the *Freedom of Information Act 2016,* Schedule 2.2(a)(ii)



#### PRIVATE AND CONFIDENTIAL

| TQI Reference:   |  |
|--|--|
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
| Notice of intention to impose a condition on   | teacher registration   |
| I have been advised by   | under s.70B of the ACT Teacher Quality Institute   |
| Act 2010 that your   |  |
| statement you accepted that you failed to tro  | ur meeting on . In this written  |
| a condition of registration as a professional to conditions of registration with TQI may provi   | ). We discussed that compliance with the Code is eacher in the ACT. Failure to comply with de grounds for the suspension or cancellation of may also impose any additional conditions which                    |
| amend your registration under s.56 of the Academic a professional development plan which   | notification to TQI; your written our conversation on I propose to be imposing a condition that you complete in has been agreed by TQI to further develop your respect. The reasons for this proposed decision |
| Reasons for decision   |  |
| I acknowledge your reflection, both written a yourself and the school was not suitable. However, along with your admission leads me to probation period with your previous employer. | wever, the evidence provided by believe that, over the course of the extended  |

Phone: +61 2 6207 5005 • Fax: +61 2 6205 8301 • Email: tqi@act.gov.au
Address: PO Box 263 Jamison Centre ACT 2614 Australia • Web: www.tqi.act.go



#### The purposes of the Act include

s.6 (a) uphold the standards of the teaching profession; and(b) protect students and the community by ensuring education in schools is provided in a professional and competent way by approved teachers...

I consider therefore that on balance it is appropriate for the purposes of the Act that you be required to undertake professional learning and development to address the importance of:

#### Demonstrating *Integrity* by:

- displaying professional behaviour and practice appropriate to the role of a teacher;
- creating and maintaining professional relationships with students, parents and carers, colleagues and the community;
- maintain standards of professional and personal conduct consistent with community expectations and complying with this code and other professional codes; and
- demonstrating *respect* by communicating appropriately with students, parents and carers, colleagues and other people.

If this decision is taken, you will be able to renew your registration for on the condition that you undertake the professional learning agreed in a plan to be devised by you and agreed by TQI.

You have 14 days from the date of your receipt of this notification to provide me with reasons why the decision to impose conditions as set out above should not be taken. If you choose to provide me with reasons, I will take them into account along with the information set out above to arrive at a final decision, of which you will be notified in writing.

If you have any queries about this notification, please contact Ms Claudia Hale on 6205 8207 or email <u>Claudia.Hale@act.gov.au</u>.

Yours sincerely

Coralie McAlister
Chief Executive Officer

Phone: +61 2 6207 5005 • Fax: +61 2 6205 8301 • Email: tqi@act.gov.au Address: PO Box 263 Jamison Centre ACT 2614 Australia • Web: www.tqi.act.go

| From: Sent: To: Cc: Subject: Fw: Probation meeting and Performance Improvement Plan process  |
|--|
| Hi   |
| and I attempted to meet with today to discuss Performance Improvement Plan with  I flagged with last week that that would be happening but that I did not wish to meet with last week                              |
| indicated that that would be fine.   |
| had a routine catch up scheduled with this afternoon, and earlier today indicated to that we thought that me attending that meeting would be a suitable way to advance the matter of Performance Improvement Plan. |
| At the meeting this afternoon I presented the plan to and asked to either read it while we sat together, indicating that that was my preference, or could take it away with.                                       |
| Without reading the document handed it back to me saying that has obtained advice and will not be signing any performance improvement plan. again acknowledged the need to extend probation.                       |
| I reiterated that the process was designed to be a positive and constructive one. reaffirmed position that will not be signing the PIP.  |
| I indicated that I would need to seek advice myself, as the advice from our HR team was that in order to extend probation the process needed to be accompanied by a PIP. acknowledged this.                        |
| I seek your advice as to how to proceed from here please.  |
| Thanks   |
|  |
|  |
| From:  |

| To:  |
|--|
| Cc: Subject: Probation meeting and Performance Improvement Plan process  |
| Subject. Probation meeting and Performance improvement Plan process  |
|  |
| thanks for taking the time to meet with and I today for our scheduled discussion regarding your probation with   |
| We had hoped to engage in an open and inclusive dialogue with you around the concerns that we had regarding work performance during your probationary period and, in particular, these last 3 months with the school. I provided you with a copy of your 3-month Probation report, and a copy of the  Performance Improvement Plan procedure to seek to ensure that you understood fully the process that we were going to follow  |
|  |
| had met with you on to make you aware of the nature of our discussion today and our intention to extend your probation. You indicated in that discussion with that you understood the nature of the concerns that raised with you and that you accepted the need to extend your probation  |
| In our discussion today, after I outlined to you our proposed approach, you stated that you were not willing to engage in the style of conversation that we were proposing but rather, you requested that we present to you a written Performance Improvement Plan that clearly stated the performance issues that we wished to address. I stated to you that that was your prerogative and that that approach seemed more confrontational than we had hoped the process would be.   |
| I have kept Human Resources within advised of the process to date and have also  |
| advised them of the status of things following our meeting today.  |
| and the same of th |
| As indicated, I will work with you to identify a suitable time for us to reconvene to discuss your probation and the accompanying Performance Improvement Plan that we will now be presenting to you. If you wished to bring a support person to our next discussion (and any subsequent meetings) you would be welcome to do so; alternatively, human resources can also offer a support person in the conversation(s) if you were to request one.  |
| Please note, that while this process is being undertaken your probation period with  |
| has been extended for a further .  |
| If you wish to discuss any questions or concerns that you have you can direct these to either myself or to (copied in here).   |
| Thanks   |
|  |
|  |
|  |
|  |



## NOTICE TO ATTEND COMPLUSORY MEETING TO DISCUSS HIGHLIGHTED PERFORMANCE ISSUES

You are requested to attend a meeting to discuss performance concerns that have been raised with you. These concerns include:

- Behaving in a manner that is not respectful of others
- Using language inconsistent with the Cultural Code
- Interactions that have negatively affected relationships with team members
- Working in a non-collaborative manner
- Shown an absence of tolerance of other perspectives
- Not receiving constructive feedback in a manner that demonstrated understanding.

has raised these concerns with you, however you have refused to sign a prepared Performance Improvement Plan. Our aim is to inform you of our concerns and to develop a plan with you to support you in improving the issues identified.

| Pr | esent | t at th | e me | eting | will b | e: |  |
|----|-------|---------|------|-------|--------|----|--|
|    |       |         |      |       |        |    |  |
|    |       |         |      |       |        |    |  |
|    |       |         |      |       |        |    |  |

| Meeting details:  |  |  |   |
|---|--|--|---|
| <ul><li>Date:</li><li>Time:</li><li>Location:</li></ul>   |  |  |   |
| representative or ar provide you with su colleague or anothe and possibly conflict to me the name, comeeting. | rson to accompany you to<br>nother person. Please not<br>pport, not to act as an ad-<br>r en<br>ring situation; however w<br>ntact details and relations | e that the accompanyi vocate. We would prefer ployee due to that place will not deny you that hip of your support pe | ng person is there to<br>er that you not use a<br>cing them in a difficult<br>t option. Please provide<br>rson prior to the |
| Please note that you  | are entitled to access   | Er   | nployee Assistance  |
| Program (EAP) at an   | y time during this process   | . This program is a fre  | e confidential  |
| counselling service   | provided to all employees  | by   | You can contact the   |
| provider,   |  |  |   |
| If you have any que   | stions about this process,   | please do not hesitate   | to contact me on  |
| Vours Sincarely   |  |  |   |

#### **Letter of Outcomes**

Thank you for attending the meeting on explained to you that there were no formal meeting notes taken at the previous meetings with there are some emails regarding the performance improvement process.

You asked who would be responsible for recording the meeting and I indicated that I would do so and provide a letter of outcomes by today,

I explained the clause in your employment contract which sets out the standards that apply to all employees, including completing training and development and fulfilling your position, duties and responsibilities with due diligence, honesty and in a professional manner. I explained that the Performance Management Framework and the associated Performance Improvement Plans (PIP) are a positive and professional way that seeks to provide the necessary training and development for our employees. The PIP process is a way to work together to achieve the expected goals of your position. This is not an underperformance process which is separate from our development framework.

I provided you with a copy of your employment contract, position description, the guide to the Individual Development Program and a copy of your PIP.

You indicated that you are happy to work with 'management' but not within established framework. You also stated that you would not sign the PIP until it had been cleared by your lawyer which may take some time.

I explained that will enact the PIP when you return in be weekly meetings regarding the expectations set out in the PIP and how you are progressing toward those goals.

There was discussion around how the PIP had been provided to you and the following was noted:

- You were offered an opportunity to have input into the PIPs development but declined.
- You requested that a completed PIP be provided to you
- You were provided a copy of the completed PIP at the subsequent meeting with
   offered for you to either review the PIP at the time or take it with
   you for review; however you handed the copy back to at the meeting giving it
   only a cursory review stating that you would not be signing it.

| •     | advised you at th  | at time tha    | would need to      | seek advice as to wl | nat the next |
|-------|--|----------------|--------------------|----------------------|--------------|
| •     | steps would be At our meeting of to be provided to you had not done this | via email so t | hat you could ref  |                      |              |
|       |  |                |                    |                      |              |
|       |  |                |                    |                      |              |
| The n | neeting ended with a re  | e-statement th | at the PIP will be | enacted when scho    | ool resumes  |
|       |  |                |                    | W                    |              |
|       |  |                |                    |                      |              |
|       |  |                |                    |                      |              |

This record is not released in accordance with Section 17 of the *Freedom of Information Act 2016,* Schedule 2.2(a)(ii), Confidential Information and Management Function

This record is not released in accordance with Section 17 of the *Freedom of Information Act 2016,* Schedule 2.2(a)(ii), Confidential Information and Management Function

This record is not released in accordance with Section 17 of the *Freedom of Information Act 2016,* Schedule 2.2(a)(ii), Confidential Information and Management Function

| Employment  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| I am writing to you in relation to your employment with us at   |  |  |  |  |  |  |
| You are currently the subject of a Performance Improvement Plan (PIP).  |  |  |  |  |  |  |
| From the material that I have seen and my recent discussions with   |  |  |  |  |  |  |
| my present view is  |  |  |  |  |  |  |
| that the PIP has not resolved and, in my preliminary view, is unlikely to resolve, the matter of concern that led to its implementation: your workplace-related behaviour.  |  |  |  |  |  |  |
| that led to its implementation, your workplace related behaviour.   |  |  |  |  |  |  |
| The PIP was introduced following several incidents of concern.  |  |  |  |  |  |  |
| To give one example, on raised with you an allegation that the previous day   |  |  |  |  |  |  |
| you had said, you had exclaimed, "It would have been  |  |  |  |  |  |  |
| nice to have been fucken told." He also raised with you the fact that you had recently sent a   |  |  |  |  |  |  |
| message to your colleagues with a message that stated   |  |  |  |  |  |  |
| Or met with and explained to you that intended to extend your probation period because it was not convinced that your behaviour was sufficiently satisfactory for your employment to be confirmed.  |  |  |  |  |  |  |
| On met with you to advise that your probation period would be extended for a further three months. They provided you with a copy of your three month probation report and PIP procedure document. You glanced at both documents, and then promptly handed them back to them, rather than retain them. When then attempted to address the contents of those documents and the specific issues of concern, you said "I'm not willing" |  |  |  |  |  |  |

| improvement plan that clearly states the that that was your prerogative, but   | et you're proposing. I want to be given a written performance e performance issues that you wish to address". eplied that he considered that such an approach was more ped that the process would entail. The meeting ended on that  |  |  |  |  |  |
|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |
| Or met w   | rith you again. In accordance with the request that you made   |  |  |  |  |  |
| during the meeting on  | handed you a PIP document prepared by  |  |  |  |  |  |
|  | u to either review the document there and then or to take it   |  |  |  |  |  |
|  |  |  |  |  |  |  |
| away with you and come back to with your comments and any suggested amendments.  |  |  |  |  |  |  |
|  | e document and handed it straight back to saving "I will   |  |  |  |  |  |
| not be signing it". The meeting ended at   | t that point on a similar note to the one on   |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  | then wet with you on   |  |  |  |  |  |
| fall and the second  | then met with you on to  |  |  |  |  |  |
| follow up on   | meeting with you. As outlined in letter to you of  |  |  |  |  |  |
| during that meeti  |  |  |  |  |  |  |
| had decided to imp   | lement the PIP was because it considered that aspects of your  |  |  |  |  |  |
| workplace-related behaviour remained   | unsatisfactory. As had done on   |  |  |  |  |  |
| handed you a copy of the PIP document  | and invited you to provide input in relation to its contents.  |  |  |  |  |  |
| You declined, saying "I will not do so unt   | il it's been cleared by my lawyer, which may take some time.   |  |  |  |  |  |
| Can you send me an electronic copy", ar  | nd handed the document back to went on   |  |  |  |  |  |
| to say that the PIP would commence up  | annes Cate Steel Cate and Steel Cate At the Cate At th |  |  |  |  |  |
| request, sent you an electronic copy of the PIP document later that day.   |  |  |  |  |  |  |
| sent you an electronic   | sopy of the fit document later that day.   |  |  |  |  |  |
|  |  |  |  |  |  |  |
| The first PIP meeting between you,   | occurred on Before addressing  |  |  |  |  |  |
| the PIP document noted that ther   | re had been some speculation among staff during  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  | in the second se |  |  |  |  |  |
| Miles the service time to the fi   | ND   |  |  |  |  |  |
| When the conversation turned to the PIP document, you confirmed to that you had received   |  |  |  |  |  |  |
| South Conference of the Confer | and an electronic copy of the PIP document on that date.   |  |  |  |  |  |
| However, you said that you were not prepared to sign the document until such time as you had   |  |  |  |  |  |  |
| obtained advice from your solicitor. You   | said that your solicitor was on leave until mid-February.  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| said that regardless of whether you  | ware going to sign the decument the DID would continue   |  |  |  |  |  |
| said that regardless of whether you were going to sign the document, the PIP would continue.   |  |  |  |  |  |  |
| You then asked that a written agenda be provided to you ahead of the PIP meetings. replied that  |  |  |  |  |  |  |

| the agenda was what was stated in the PIP document and that, whilst was prepared to consider your request, present position was that it was not necessary to provide such ahead of discussions of matters (that is, your workplace-related behaviour) that were essentially 'operational'. |
|--|
| then returned to the PIP document and asked you if you had read it and whether you needed any clarification or had any questions about what was stated in it. You said that you had read it, that you did not need any clarification, and that you had no questions.                       |
| then addressed the Agreed actions to improve at page 3 of the document, emphasising that   |
| hoped that the stated key outcomes, task/responsibilities and performance measures could be agreed.  |
| You stated that you agreed with what had been stated in the document in that regard.   |
| As to the first key outcome, sked you how you felt that you were going in terms of achieving positive professional relationships with other You said "good". In  |
| response, expressed concern that you had spoken disrespectfully to some of your colleagues on  |
| occasion and noted both that some staff had raised concerns with about how they felt that you  |
| had behaved towards them and that other staff had expressed concern to about your behaviour  |
| towards other staff that they had witnessed. You requested the names of the staff concerned and  |
| asked why they had not approached you directly with their concerns.  |
| concerned had requested not to be identified due to the unease that they had around how you might  |
| respond to them. You stated it was hard to rectify your behaviour when you did not know who you needed to put more effort in with.   |
| needed to pat more enort in with.  |
|  |
| As for the second key outcome asked you how you felt that you were going in terms of ensuring  |
| that your interactions with other staff were respectful and courteous. You replied, "I'm not going to  |
| get into a 'guessing game' around this. I just want to be told what the issues are." replied that the essential concern was simply that your interactions with some staff had not been respectful or   |
| courteous. You appeared to acknowledge this inasmuch as you said that, in respect of severa  |
| campus staff last year and one campus staff member this year, you had 'stepped back' your  |
| interactions to maintain a 'strictly professional only' stance.  |
|  |
| You went on to assert that these issues had not been raised with you previously, and asked why   |
| mediation had not been offered. took issue with that assertion, noting that : —had raised the  |
| issue of your unsatisfactory behaviour towards with you last year and that   |
| you had acknowledged that feedback at the time and stated that you would work on improving your  |
| behaviour in that regard. You then asked whether staff had raised any concerns about your behaviour  |
| this term. replied that some had, and asked if you would like some specific examples. You replied,   |
| "No, I don't".   |
|  |

| An example of your behaviour this term that had intended to address was that during a discussion in the staff office at the on the afternoon of after school had  |  |
|---|--|
| finished, you had asserted to the several staff members who were present that was part of the   |  |
| that females and males were treated differently within that denomination religion, that 'this' was also evident within I – inferring that was responsible for the alleged differential treatment, that did not like people who were not 'religious', and that any staff member who was not 'religious' should be wary of him.   |  |
| then turned to the third key outcome (Participates in the operations of the school in a collaborative and respectful manner), and said that there remained some concerns around your behaviour in that regard too. Your response at that point (around 3:30 p.m.), was to begin to collect the belongings that you had brought to the meeting asked, "Are you leaving?", to which you replied, "Yes". You then left the room, went straight to the staff office, collected some other belongings of yours, and left the premises. |  |
|   |  |
|   |  |
|   |  |
|   |  |
|   |  |
|   |  |
|   |  |
|   |  |
|   |  |

| I consider that that email is illustrative of the concerns that and other colleagues a have about your behaviour, and that were trying to address with you. I read the first paragraph as being somewhat sarcastic. I also consider that your accusation of 'unpleasantness' towards to be unjustified: it is their job to draw a staff member's attention to aspects of their behaviour that they and others consider to be unsatisfactory, and I find it difficult to believe, knowing their personalities and their general good reputation within that they were, in fact, unpleasant towards you. As for the second paragraph, it is true that believe that you have interacted with staff with a lack of civility; however, it is not reasonable to disparagingly (as I read it) refer to that belief as being their "problem". |
|---|
|   |
|   |
|   |
| A further PIP meeting between you was held on as a continuation of the one the previous week. spoke to the third key outcome. then addressed the fourth key outcome ( <i>Receives constructive feedback with an openness and genuineness and responds professionally</i> ). then asked you if you had anything to add. You said that you did not. The meeting ended that point.   |
| Putting all of the above together, my present 'read' of the situation is that: you do not accept that your workplace-related behaviour has been and remains unsatisfactory; you are not 'listening' to what are trying to impress upon you in that regard; your approach to the PIP is argumentative indeed, in some respects, hostile; that you do not really want to be at and, if all that is so, the PIP is not going to result in a positive outcome.  |

| Accordingly, my preliminary vi    | ew is that                     | should terminate your employment o          | n  |
|-----------------------------------|--------------------------------|---|----|
| the ground of your conduct.       | Before I make a final decision | on, I invite you to provide a response as t | 0  |
| why it should not do so. I will t | take into account anything the | nat I receive in writing from you by        |    |
|                                   | In the meantime, to enable     | you to spend as much time as you wish i     | n  |
| considering your position, you    | are not to attend work.        | You will remain on full pay. You are als    | 0  |
| directed not to communicate w     | vith any employee              |   |    |
| If you need anything for the p    | ourposes of your response,     | you are to address your request to me a     | it |
|                                   |                                |   |    |
| Yours sincerely                   |                                |   |    |
|                                   |                                |   |    |
|                                   |                                |   |    |
|                                   |                                |   |    |
|                                   |                                |   |    |
|                                   |                                |   |    |
|                                   |                                |   |    |
|                                   |                                |   |    |

This record is not released in accordance with Section 17 of the *Freedom of Information Act 2016,* Schedule 2.2(a)(ii)

This record is not released in accordance with Section 17 of the *Freedom of Information Act 2016,* Schedule 2.2(a)(ii)

# Section 70B Notification Form





Under section 70B of the ACT Teacher Quality Institute Act 2010, it is the employer's obligation to notify TQI of a notification event.

| Details of Teacher   |  |   |  |  |  |  |
|--|--|---|--|--|--|--|
| Nan  | ne:  | Employment Status:                                      |  |  |  |  |
| Date   | Date of Notification Event:  |   |  |  |  |  |
| Sec  | Sector: School name:   |   |  |  |  |  |
| Cau  | use for reporting a notification event u   | ınder section 70B                                       |  |  |  |  |
|  | the employer of an approved teacher tells the investigation;   | teacher that the employer has decided to begin a formal |  |  |  |  |
| $\boxtimes$  | the employer takes disciplinary action against employment;   | the teacher under the terms of the teacher's            |  |  |  |  |
|  | Tick if the following information is available:  |   |  |  |  |  |
|  | <ul> <li>At time of notification the teacher's er</li> </ul>   | nployment has been terminated Yes ⊠ No □                |  |  |  |  |
|  | <ul> <li>At time of notification a formal investigation has been completed or full admission by the<br/>teacher has occurred and the teacher has select</li> </ul> |   |  |  |  |  |
|  | the employer removes, cancels or ends the access of the teacher to casual employment;  |   |  |  |  |  |
| an approved teacher, who is the subject of a formal investigation or preliminary factual inquiry by the employer, resigns. |  |   |  |  |  |  |
| Details of notifier for the reported matter:   |  |   |  |  |  |  |
| Name   |  |   |  |  |  |  |
| Tele   | ephone:  | Email   |  |  |  |  |

### Section 70C







Under section 70C of the ACT Teacher Quality Institute Act 2010, an employer must respond to a request for further information in relation to a notification event within a stated timeframe.

| Details of Teacher  |   |  |  |  |
|---|---|--|--|--|
| Name:   | TQI Number:   |  |  |  |
| Date of Notification Event:   | Employment Status:  |  |  |  |
| Sector:   | School name:  |  |  |  |
| Information to be sent to TQI by clo  | se of business  |  |  |  |
| Cause for reporting a notification event u  | nder section 70B  |  |  |  |
| the employer takes disciplinary action against employment;  | the teacher under the terms of the teacher's                  |  |  |  |
| Tick if the following information is available:   |   |  |  |  |
| <ul> <li>At time of notification the teacher's en</li> </ul>  | nployment has been terminated Yes⊠ No □                       |  |  |  |
| <ul> <li>At time of notification a formal investigation teacher has occurred and the teacher</li> </ul>   | gation has been completed or full admission by the has select |  |  |  |
| Information requested under s70C of the   | TQI Act:  |  |  |  |
| Provide the following:  |   |  |  |  |
| <ul> <li>☑ a description of the notification event</li> <li>☑ investigation report</li> <li>☑ any other information related to the notification of</li> </ul> | event   |  |  |  |
| Details of no tifier for the reported matter  |   |  |  |  |
| Name:   |   |  |  |  |
| Telephone:  | Email:  |  |  |  |
| Details of TQI officer managing case:   |   |  |  |  |
| Name: Claudia Hale  |   |  |  |  |
| elephone:62058207 Email:Claudia.Hale@act.gov.au   |   |  |  |  |

| Employment   |  |   |  |  |
|--|--|---|--|--|
| Thank you for your email of                                    |  |   |  |  |
|  |  |   |  |  |
|  |  |   |  |  |
|  |  |   |  |  |
|  |  |   |  |  |
|  |  |   |  | I will take  |
| it that your email is intended to be a respon                  |  | THE RESERVE TO SERVE THE PARTY OF THE PARTY | - 100 TO  | loutlined  |
| concerns about you   | r workplace be   | haviour and invited   | your response  | e.   |
| In my letter I outlined a number of particu                    | ılar instances o   | f vour workplace be   | haviour that   | illustrated  |
| concerns.  |  |   |  |  |
|  |  |   |  |  |
| I summarised my concerns by saying:                            |  |   |  |  |
| Putting all of the above together, my pre                      | cont 'road' of th  | a cituation is that: you  | , do not accor   | at that your   |
| workplace-related behaviour has been and                       |  |   |  | 7  |
| are trying to impress upon you in tha                          |  |   |  | The state of the s |
| some respects, hostile; that you do not re-                    | ally want to be  | at  | ; and, if all the  | at is so, the  |
| PIP is not going to result in a positive outc                  | ome.   |   |  |  |
| My read of your email is that you still do no                  | ot really accept   | that your workplace   | -related beh   | aviour has   |
| been unsatisfactory. As you say, your reco                     |  |   |  |  |
| in my letter is somewhat different. I also                     |  | negotian il sono di la compani la compani de compani de la compani de la compani de la compani de la compani d  | THE PARTY OF THE P |  |
| for the first time provided me with a pathy                    |  | 1.0   | ***  |  |
| with satisfaction in this matter". You know                    |  |   |  |  |
| raised with you on   | There is your  | behaviour during th   |  | A CONTRACT OF STREET   |
| between you, from is your behaviour in the staff office at the |  | onwards referre   | a to in my let   | ter. There   |
| Despite the warranty that you provide, give                    |  |   | future hehav   | viour Lam  |
| afraid that I cannot muster sufficient confi                   | and the same of th |   |  |  |
|  |  |   | ₩.   |  |

| ble years of teaching experience.  ' as being "somewhat nebulous" |
|---|
| with immediate<br>be paid the value of your unused                |
|   |
| and wish you well for   |
|   |
|   |
|   |
|   |

This record is not released in accordance with Section 17 of the *Freedom of Information Act 2016,* Schedule 2.2(a)(ii)



#### PRIVATE AND CONFIDENTIAL

| TQI Reference:   |
|--|
|  |
|  |
|  |
|  |
|  |
|  |
| Notice of condition on teacher registration  |
| I refer to my notification to you of my intention to amend your registration as a  |
| teacher under s.56 of the ACT Teacher Quality Institute Act 2010 (the Act) to impose a condition requiring you to undertake agreed professional learning.  |
| As indicated in that notification, I have reviewed your registration in light of matters raised by under s.70B of the ACT Teacher Quality Institute Act 2010 that your was terminated from   |
| consideration your written response and our discussion on along with your response to proposed action, received .  |
| Taking all the relevant information into account, I now give you notice that I have amended your registration under s.56 of the Act and impose a condition that you undertake 20 hours of targeted professional learning during the registration year addressing three mutually agreed professional learning goals related to continuing to develop your professional practice.  |
| You will need to provide me with a professional learning plan by the end of identifying your proposed learning goals and suggested activities which may include professional reading you have already completed this year. You will be required to maintain a record of the learning you undertake in relation to your agreed plan, including your reflections on this learning in the TQI portal. After verification by TQI this targeted professional learning would satisfy the 20 hours required for the renewal of your registration in |
| Reasons for decision   |
| I acknowledge your reflection, both written and verbal,  . However, the evidence provided by   |
| along with your admission leads me to believe that, over the course of the extended probation period with your previous employer, you breached the Code.   |

Phone: +61 2 6207 5005 • Fax: +61 2 6205 8301 • Email: tqi@act.gov.au
Address: PO Box 263 Jamison Centre ACT 2614 Australia • Web: www.tqi.act.go



The purposes of the Act include:

s.6 (a) uphold the standards of the teaching profession; and(b) protect students and the community by ensuring education in schools is provided in a professional and competent way by approved teachers...

I consider therefore that on balance it is appropriate for the purposes of the Act that you be required to undertake professional learning and development to address the importance of: Demonstrating *Integrity* by:

- displaying professional behaviour and practice appropriate to the role of a teacher;
- creating and maintaining professional relationships with students, parents and carers, colleagues and the community;
- maintain standards of professional and personal conduct consistent with community expectations and complying with this code and other professional codes; and
- demonstrating *respect* by communicating appropriately with students, parents and carers, colleagues and other people.

The amendment of your registration will take effect on . The condition will remain in effect until you have satisfied the verification requirements for the professional learning undertaken.

#### Appeal rights

You have 28 days from the date of effect of this letter advising of the amendment of your registration in which you may apply to have that decision reviewed by the ACT Civil and Administrative Tribunal (ACAT) or apply for a statement of reasons under section 22B of the ACT Civil and Administrative Tribunal Act 2008. To apply to have the decision reviewed by the ACAT you must lodge an application form together with the prescribed fee. An application form and details about lodging an appeal can be found on the ACAT website - http://www.acat.act.gov.au/

If you have any queries about this notification, please contact Ms Claudia Hale on 6205 8207 or email <u>Claudia.Hale@act.gov.au</u>. Ms Hale will also be able to provide you with a scaffold for developing a PL plan and support you in selecting relevant PL opportunities to meet your identified goals.

| Yours sincerely         |
|-------------------------|
|                         |
|                         |
| Coralie McAlister       |
| Chief Executive Officer |

Phone: +61 2 6207 5005 • Fax: +61 2 6205 8301 • Email: tqi@act.gov.au Address: PO Box 263 Jamison Centre ACT 2614 Australia • Web: www.tqi.act.go



#### **PRIVATE AND CONFIDENTIAL**

| TQI Reference:   |
|--|
|  |
|  |
| Registration card - condition on teacher registration  |
| I refer to the notification sent to you outlining that your registration has been amended under s.56 of the <i>ACT Teacher Quality Institute Act 2010</i> (the Act) to impose a condition requiring you to undertake agreed professional learning. |
| Please find attached your new registration card that specifies this condition. You will need to return your card issued this year, dated or destroy it by  |
| You need to provide a copy of this card to your employer and any future employers.   |
| If you have any queries, please contact me on 6205 8207 or email <a href="mailto:Claudia.Hale@act.gov.au">Claudia.Hale@act.gov.au</a> .  |
| Yours sincerely  |
|  |
| Claudia Hale Senior Director Professional Standards, PL and Regulation   |

Phone: +61 2 6207 5005 • Fax: +61 2 6205 8301 • Email: tqi@act.gov.au
Address: PO Box 263 Jamison Centre ACT 2614 Australia • Web: www.tqi.act.go

#### Freedom of Information Schedule

#### - TEACHERS REGISTRATION S70

Scope: The records from within the time period of 17 February 2019 until 29 November 2021 specifically:

- 1. The document or documents which detail the reasons why any registered teacher has been issued with a formal warning, had conditions placed on their registration or been de-registered as a result of their conduct.
- 2. Any information disclosed under s. 70A (1) (a) and (b) of the TQI Act to the Institute, whether or not the teacher's registration was suspended, cancelled or had conditions placed upon it.
- 3. Any information disclosed to the institute under s. 70B of the TQI Act.

#### DATE OF REQUEST: 29 NOVEMBER 2021 - FILE2021/5895

| Record<br>Number | Description  | Record Date | Decision<br>(Full release,<br>Partial release,<br>Non-release) | Reason for Partial release or<br>Non-release                                   |
|------------------|--|-------------|--|--|
|                  | 2021 – Case 23   |             |  |  |
| 23.1             | Section 70B Notification Form                              |             | Partial release  | Schedule 2.2(a)(ii)  |
| 23.2             | Section 70C Request for further information                |             | Partial release  | Schedule 2.2(a)(ii)  |
| 23.3             | Letter – Response to information request under section 70c |             | Partial release  | Schedule 2.2(a)(ii) and<br>Confidential Information and<br>Management Function |

| 23.4 | Report – Investigation Report Code of Conduct | Partial release | Schedule 2.2(a)(ii) and Confidential Information and Management Function  Pages that are wholly redacted have been removed |
|------|---|-----------------|--|
| 23.5 | Letter – Notification of Final Finding        | Partial release | Schedule 2.2(a)(ii) and<br>Confidential Information and<br>Management Function   |
| 23.6 | Regulatory Assessment Report                  | Partial release | Schedule 2.2(a)(ii) and<br>Confidential Information and<br>Management Function   |
| 23.7 | Coversheets 17J Report                        | Partial release | Schedule 2.2(a)(ii)  |

# Section 70B Notification Form





Under section 70B of the ACT Teacher Quality Institute Act 2010, it is the employer's obligation to notify TQI of a notification event.

| Details of Teacher  |   |  |
|---|---|--|
| Name:   | Employment Status:  |  |
| Date of Notification Event: TQI Number:   |   |  |
| Sector:   | School name:  |  |
| Cause for reporting a notification event u  | ınder section 70B   |  |
| the employer of an approved teacher tells the investigation;  | teacher that the employer has decided to begin a formal         |  |
| the employer takes disciplinary action against employment;  | t the teacher under the terms of the teacher's                  |  |
| Tick if the following information is available:   |   |  |
| <ul> <li>At time of notification the teacher's er</li> </ul>  | mployment has been terminated Yes ☐ No ☐                        |  |
| <ul> <li>At time of notification a formal investi<br/>teacher has occurred and the teacher</li> </ul> | gation has been completed or full admission by the r has select |  |
| the employer removes, cancels or ends the a   | ccess of the teacher to casual employment;                      |  |
| an approved teacher, who is the subject of a employer, resigns.                                       | formal investigation or preliminary factual inquiry by the      |  |
| Details of notifier for the reported matter:  |   |  |
| Name:   |   |  |
| Telephone: Email  |   |  |

# Section 70C Request for further information





Under section 70C of the *ACT Teacher Quality Institute Act 2010*, an employer must respond to a request for further information in relation to a notification event within a stated timeframe.

| Details of Teacher   |   |
|--|---|
| Name:  | TQI Number:   |
| Date of Notification Event: 1                                | Employment Status:                                      |
| Sector:  | School name:  |
| Information to be sent to TQI by clos                        | se of business  |
| Cause for reporting a notification event u                   | nder section 70B  |
| the employer of an approved teacher tells the investigation; | teacher that the employer has decided to begin a formal |
| Information requested under s70C of the                      | TQI Act:  |
| Provide the following:                                       |   |
| ☑ a description of the notification event                    |   |
| ☑ investigation report                                       |   |
| ☑ any other information related to the notification event    |   |
| Details of notifier for the reported matter:                 |   |
| Name:  |   |
| Telephone:   | Email:  |
| Details of TQI officer managing case:                        |   |
| Name: Claudia Hale   |   |
| Telephone:62058207 Email:Claudia. Hale@act.gov.au            |   |

### RESPONSE TO INFORMATION REQUEST

### Under section 70c

#### CONFIDENTIAL

| Forward to: ACT Teacher Qua  | ılity Institute                                |   |
|--|--|---|
| I refer to your request under sec<br>for the exchange of information |  | ity Institute Act 2010, which provides section 70B.                                     |
| Subject person:  | l .  |   |
| TQI Number:  |  |   |
|  |  |   |
| Description of the notification                                      | on event                                       |   |
|  |  |   |
|  |  |   |
|  |  |   |
|  |  |   |
| On completing patterns. was grabbed hand telling squeezed hand hu    | s leaning on chair where needed to complete pa | that during class when they were approached and attern. disclosed to become distressed. |
| On   | parents contacted the                          | and discussed the   |
| matter where they were advised                                       |  |   |
| On the of the matter and forwarded                                   | e parents contacted the dan email.             | and informed  |
|  |  |   |
|  |  |   |
|  |  |   |
|  |  |   |

1 of 2

| Other related information  |
|--|
| has not been suspended and continues to perform role.  |
| have commenced a Reportable Conduct investigation with the matter being reported to the ACT Ombudsman Office.                        |
| Investigation report and finding letter will be forwarded once completed   |
|  |
| The information is released to you in good faith to assist you to provide service under the ACT Teachers Quality Institute Act 2010. |
| Please let me know if further information is required.   |
|  |
|  |
|  |

| RECORD 23.4 |
|-------------|
|             |
|             |
|             |
|             |
|             |
|             |
|             |
|             |
|             |
|             |

#### Contents

| 1.  | EXECUTIVE SUMMARY                   | 3  |
|-----|-------------------------------------|----|
|     | SUMMARY OF ALLEGATIONS AND FINDINGS |    |
|     | BACKGROUND                          |    |
| 4.  | METHODOLOGY                         | 6  |
| 5.  | ALLEGATION ONE                      | 7  |
| 6.  | SUMMARY OF EVIDENCE                 | 8  |
| 7.  | ANALYSIS                            | 13 |
| 8.  | FINDING                             | 16 |
| 9.  | CONCLUSION                          | 16 |
| 10. | ANNEXURES                           | 19 |

| 1.  | EXECUTIVE SU   | MMARY   |
|-----|--|---|
| 1.1 |  |   |
|     |  | · · · · · · · · · · · · · · · · · · ·   |
|     | 8  |   |
| 1.2 | On   | received notification of a complaint by   |
|     | father of  | student, of regarding the alleged   |
|     | conduct of   | teacher,  |
| 4.0 | TI.  |   |
| 1.3 | This complaint f   | rom was initially made to — The allegation related to an incident occurring during the                                  |
|     | week between   | and   |
|     |  |   |
| 1.4 | A CONTRACTOR OF THE CONTRACTOR | t provided information that has resulted in one allegation that relates to  |
|     | taking   | hold of hand, squeezing and hurting hand whilst teaching the  |
|     |  | class.  |
| 1.5 | On   |   |
|     | comme  | nced a formal investigation into the allegations. During the investigation  |
|     |  | s obtained from relating to the victim and  |
|     | were   | interviewed as well as the respondent.  |
| 1.6 | The respondent   | advised:  |
|     | •  |   |
|     | •  | did complete duty in a professional, competent, and conscientious   |
|     |  | manner.   |
|     |  | denied the allegation in the context that it was presented.  when teaching the mathematical patterns was not sitting on |
|     |  | chair as the pattern lessons were conducted with the class sitting on the floor.  |
|     | •  | categorically denied squeezing hand and at no stage has ever had  |
|     |  | contact with that would cause pain or hurt .  |
|     | •  | is not a student in class that leans on chair or moves around during  |
|     |  | seated activities. during the week relating to the allegation there were  |
|     |  | assisting on different days.  |
|     |  | 30000008  |
|     | It has been esta   | blished there is a lack of evidence of weight to sustain that the alleged conduct                                       |

It has been established there is a lack of evidence of weight to sustain that the alleged conduct occurred. Therefore, it has been established that no breach has been sustained against the framework of the relevant School Policies and Procedures, most notably, those of professional standards, conduct and behaviour.

The investigation has concluded the allegation is not reportable conduct upon assessing the allegation, in consultation with the ACT Ombudsman Office. The alleged conduct could be considered as conduct that was intended to exercise appropriate control over the child or prevent the child from harming themselves or others. This does not rise to the threshold of reportable conduct under the ACT Reportable Conduct Scheme.

A table summarising the allegation and respective finding can be found at page 5 of this report.

The conclusions regarding the complaint have been considered against the framework of relevant and School Policies and Procedures, most notably, those of professional standards, conduct and behaviour as well as the definitions of reportable conduct pursuant to the Ombudsman Act 1989 (ACT).

| 1.7  |   |
|------|---|
| 1.8  | radvised that it was belief the allegation was made against due to the being unhappy with response to an email regarding details of classroom support for |
| 1.9  | This investigation has highlighted the need for continued professional development and support for .  |
| 1.10 | This Investigation Report is submitted to for information and further consideration.  |